

Refinitiv Lipper Fund Awards 2020 Media Guide

Winner announcements:

This year's Canada Award winners will be announced on November 19, 2020 at 09:00 AM Toronto time (EST) and [published here](#). Please do not release any promotional materials or results prior to this date and time. We encourage your participation in the announcement of this year's winners by sending us your company's quote, joining our social campaign and/or writing your own press release.

How to participate:

1. Provide us with a brief company quote and a photo to be used on our website when we announce this year's winners. Please submit to [Alexis Weakley](#) before October 29th.

Below are prompts as a guideline:

- What does it mean to you and your organization to win a Refinitiv Lipper Fund Award?
- What value does the Refinitiv Lipper Fund Awards create for your organization and for the industry?
- As a recipient of a Refinitiv Lipper Fund Award, what does this mean for your clients?
- What has been the driver of your success in achieving a Lipper Fund Award?

2. Join our social campaign and announce your win.

- Be sure to tag @Refinitiv #LipperFundAwards

3. Write your own press release to go live after winners announced on November 19, 2020.

- All required disclosure, naming and disclaimer information is listed below in this media pack. You may also wish to include a quote in your press release from Robert Jenkins, Head of Research, Lipper, Refinitiv in which he has provided a direct quote for your use:
- "The Refinitiv Lipper Fund Awards celebrate exceptional investment management. In a year of dramatic change across the global economy, climate, the environment and political cultures, it has never been more important to have your investments entrusted with talented and experienced professionals. The Refinitiv Lipper Fund Awards recognize those exceptional managers and fund management groups who navigate these changes and steer your savings into outperforming returns", said Robert Jenkins, Head of Research, Lipper, Refinitiv. "We at Refinitiv congratulate the 2020 Refinitiv Lipper Fund Award winners for delivering outstanding performance to their investors and wish [INSERT YOUR COMPANY NAME] continued success."

Official Media partner:

The Globe and Mail will produce a special editorial report within Report on Business showcasing the Refinitiv Lipper Fund Award Canada winner results. As our official partner for the awards, they will be reaching out to winners to invite you to be part of this unique coverage. While Refinitiv Lipper Fund Awards does not have creative control over the editorial content, The Globe and Mail is committed to seeking and including stories that highlight and share the outstanding performance of the winners in fund management space.

There is advertising space available in the editorial report. Please reach out to [Keith Ryder](#) for more information about the editorial report, The Globe's readership and reach, and for further information about the cost for various ads (print + digital bundle).

The Lipper Fund Awards official Logo

Your Refinitiv Lipper Fund Award win entitles your firm to license the official Refinitiv Lipper Fund Awards logo and refer to the win in advertising and marketing. **Contact PARS International** to [license the logo](#). (Winners may not use the official logo without a logo license)

Award naming/disclosure requirements

When referring to the Refinitiv Lipper Fund Awards in copy/text (without using the official awards logo), the below information must be included in the main body of the copy:

Fund Awards:

Refinitiv Lipper Fund Awards 2020 Winner [& Country] [& Fund Name], Best Fund Over [Past & Period & Years], [& Classification].

Fund and Portfolio counts:

If you would like to refer to the number of funds or portfolios your fund was assessed against, you can find that information in the portfolio count column on your winner notification which was emailed to your firm.

Group Awards:

Refinitiv Lipper Fund Awards 2020 Winner [& Country] [& Group Name], Best Group Over Past Three Years, [& Asset Class & Size].

Note: For regions where Lipper does not make a distinction between large and small group awards because of the small market size, no reference to the "size" of the group in naming is required. For more information about the difference between small and large group awards, please refer to pages 2 and 3 of [methodology document](#).

When referring to the Refinitiv Lipper Fund Awards in copy/text in conjunction with a logo license with the official logo:

Fund Awards:

[Fund Name], Best Fund Over Past [Period & Years], [Classification].

Fund and Portfolio counts:

If you would like to refer to the number of funds or portfolios your fund was assessed against, you can find that information in the portfolio count column on your winner notification which was emailed to your firm.

Group Awards

[Group Name], Best Group Over Past Three Years, [Asset Class & Size].

Note: For regions where Lipper does not make a distinction between large and small group awards because of the small market size, no reference to the "size" of the group in naming is required. For more information about the difference between small and large group awards, please refer to pages 2 and 3 of [methodology document](#).

Disclaimer

The disclaimer **must** be included in the disclosure of any marketing collateral.

The Refinitiv Lipper Fund Awards, granted annually, highlight funds and fund companies that have excelled in delivering consistently strong risk-adjusted performance relative to their peers.

The Refinitiv Lipper Fund Awards are based on the Lipper Leader for Consistent Return rating, which is a risk-adjusted performance measure calculated over 36, 60 and 120 months. The fund with the highest Lipper Leader for Consistent Return (Effective Return) value in each eligible classification wins the Refinitiv Lipper Fund Award. For more information, see lipperfundawards.com Although Refinitiv Lipper makes

reasonable efforts to ensure the accuracy and reliability of the data contained herein, the accuracy is not guaranteed by Refinitiv Lipper.

Short-form disclaimer (only to be used when space is limited on marketing collateral i.e. fact sheets)

The Refinitiv Lipper Fund Awards are based on the Lipper Leader for Consistent Return rating, which is a risk-adjusted performance measure calculated over 36, 60 and 120 months. Lipper Leaders fund ratings do not constitute and are not intended to constitute investment advice or an offer to sell or the solicitation of an offer to buy any security of any entity in any jurisdiction. For more information, see lipperfundawards.com.

Lipper Leaders

For your Consistent Return ratings for the period ended 7/31/2020 for winning funds, please email enquiries.awards@refinitiv.com.

Results publication:

Winner results are under strict embargo until announced by Refinitiv. You will be able to search for winners on lipperfundawards.com after the official public announcement date as noted at the beginning of this document. Your winner notification states when you may publish your press release and marketing around awards won. *No raw data of the calculations will be published. No correspondence will be entered into.*

The Lipper Fund Awards Methodology:

The merit of the winners is based on objective, quantitative criteria. The influential and prestigious Refinitiv Lipper Fund Awards are based on our Lipper Leaders Rating for Consistent Return. Individual classifications of three, five, and 10-year periods, as well as fund families with high average scores for the three-year period, are also recognized. The awards are based on Refinitiv Lipper's proven proprietary methodology, which can be viewed [here](#).

Official winning certificates:

Certificates will be made available on lipperfundawards.com for you to download and print once results are made public on November 19, 2020. These certificates may only be used in marketing your winning fund/firm in conjunction with a logo license. For more information on the logo licensing process, see the [marketing toolkit](#) or contact [PARS International Corp.](#) who manage the distribution, usage and integrity of the Refinitiv Lipper Fund Awards logo and accolades.

Official winner notification:

Winners are sent an official winner notification detailing awards won and all relevant information you might need, including when you may publicise your results.

Trophies:

Individual funds that have won an award over the **three-year** time period and the **group winners** will be sent an MP4 "digital trophy" video showcasing your win after the results are announced. No physical trophies will be awarded, but all winners have the opportunity to purchase the iconic crystal trophies after the results go public too. To order crystal trophies to display in your offices around the world, [click here](#).

When are Canada award winner results assessed:

- Canada: July to July (July-end)

About Refinitiv Lipper Fund Awards:

For more than 30 years and in over 17 countries worldwide, the highly-respected Refinitiv Lipper Awards have honoured funds and fund management firms that have excelled in providing consistently strong risk-adjusted performance relative to their peers and focus the investment world on top-funds. The merit of the winners is based on entirely objective, quantitative criteria. This coupled with the unmatched depth of fund data, results in a unique level of prestige and ensures the award has lasting value. Renowned fund data and proprietary [methodology](#) is the foundation of this prestigious award qualification, recognizing excellence in fund management. Find out more at www.lipperfundawards.com.

About Refinitiv Lipper

With a 47-year track record of independent content, Refinitiv Lipper was the first to develop fund classifications that place funds in their respective peer group.

Refinitiv Lipper [data](#) covers more than 330,000 share classes in 86 countries. The Lipper Leader ratings are available for mutual funds registered for sale in 47 markets.

Refinitiv Lipper provides independent insight on global collective investments, including mutual funds, retirement funds, hedge funds and fund fees and expenses. Refinitiv Lipper offers premium-quality data, fund ratings, analytical tools and [global commentary](#) through specialized product offerings. Trusted by investment professionals for more than 40 years, Refinitiv Lipper provides unparalleled expertise and insight to the funds industry.

About Refinitiv

Refinitiv is one of the world's largest providers of financial markets data and infrastructure, serving over 40,000 institutions in approximately 190 countries. It provides leading data and insights, trading platforms and open data and technology platforms that connect a thriving global financial markets community – driving performance in trading, investment, wealth management, regulatory compliance, market data management, enterprise risk and fighting financial crime.

Notes to Editors

The Refinitiv Lipper Fund Awards do not constitute and are not intended to constitute investment advice or an offer to sell or the solicitation of an offer to buy any security of any entity in any jurisdiction. As a result, investors should not make an investment decision based on this information. Refinitiv Lipper Fund Awards designations are for information purposes only. Refinitiv Lipper is not responsible for the accuracy, reliability or completeness of the information obtained from Refinitiv Lipper. In addition, Refinitiv Lipper will not be liable for any loss or damage resulting from information obtained from Lipper or any of its affiliates. Past performance is not necessarily a guide to future performance and investors should remember that past performance is not a guarantee of future results.

Social Media:

@Refinitiv #LipperFundAwards

- Twitter: [@Refinitiv](#) / [@InsideFinance](#) / [@Lipper Alpha](#) / [@LipperLeaders](#)
- LinkedIn: [Refinitiv](#)
- Facebook: [Refinitiv](#)
- Instagram: [@Refinitiv](#)

Contact Information

- Refinitiv PR Contact: [Alexis Weakley](#)
- Logo licensing: please contact [PARS International](#).
- Lipper Fund Awards queries: contact enquiries.awards@refinitiv.com
- Crystal trophies: all winners have the opportunity to purchase trophies for display in their offices around the world after public announcement of the results. They are available in three unique design variations and are individually engraved with the relevant fund information. Order trophies through Recognition Source [here](#).